



FEDERAL ELECTION COMMISSION  
Washington DC 20463

THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 2194

DATE SCANNED 3/9/11

SCANNER NO. 2

SCAN OPERATOR SES

11092652723



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

October 22, 2010

MEMORANDUM

TO: THE COMMISSION

THROUGH: ALEC PALMER  
ACTING STAFF DIRECTOR

FROM: PATRICIA CARMONA *PC*  
CHIEF COMPLIANCE OFFICER

DEBBIE CHACONA *DC*  
ASSISTANT STAFF DIRECTOR  
REPORTS ANALYSIS DIVISION

BY: *ms* NATALIYA IOFFE/SARI PICKERALL/IAN WANDNER *JP* *ie*  
COMPLIANCE BRANCH

SUBJECT: REASON TO BELIEVE RECOMMENDATION – 2010 12 DAY  
PRE-PRIMARY REPORT (MARYLAND, NEW YORK AND  
WISCONSIN)

Attached is a list of political committees and their treasurers who failed to timely file the 2010 12 Day Pre-Primary Report for the Maryland, New York and Wisconsin Primary Elections in accordance with 2 U.S.C. § 434(a). The 12 Day Pre-Primary Report was due on September 2, 2010, and the Primary Elections were held on September 14, 2010.

The committees listed on the attached RTB Circulation Report failed to file the election sensitive report by the required due date. Election sensitive reports filed more than four days prior to the election are considered late, and reports not filed more than four days prior to the election are considered not filed. In accordance with the schedule of civil money penalties for reports at 11 CFR § 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

**Recommendation**

1. Find reason to believe that the political committees and their treasurers listed on the RTB Circulation Report violated 2 U.S.C. § 434(a) and make a preliminary

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determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.

2. Send the appropriate letters.

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Federal Election Commission  
Reason to Believe Circulation Report  
2010 PRE-PRIMARY Election Sensitive 09/02/2010 AUTH (MD, NY, WI)

AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date	Days Late	LOA	RTB Penalty
2191	C00255190	BARTLETT FOR CONGRESS COMMITTEE	BARTLETT, JR., ROSCOE G.	ROBERT PERRY	\$391,793	0	9/9/2010	7	\$124,712	\$1,865
2192	C00465377	CHARLES LOLLAR FOR CONGRESS	LOLLAR, CHARLES J.	SAMUEL N. LUXENBURG	\$429,468	0	9/8/2010	6	\$122,798	\$1,740
2194	C00463406	MORGAN FOR CONGRESS	MORGAN, VINCENT SCOTT	HON. RD SNYDEN	\$152,791	0	9/8/2010	6	\$14,048	\$210
2195	C00484279	PEOPLE FOR GAIL GOODE	GOODE, GAIL	PATRICK BENCIVENGA	\$716,947	0	9/9/2010	7	\$341,181	\$3,650

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Reason To Believe Recommendation 2010	)	
12 Day Pre-Primary Report (Maryland,	)	
New York and Wisconsin):	)	
BARTLETT FOR CONGRESS	)	AF# 2191
COMMITTEE, and ROBERT PHERRY as	)	
treasurer;	)	
PEOPLE FOR GAIL GOODE, and	)	AF# 2195
PATRICK BENCIVENGA as treasurer;	)	
CHARLES LOLLAR FOR CONGRESS,	)	AF# 2192
and SAMUEL N LUXENBURG as	)	
treasurer;	)	
MORGAN FOR CONGRESS, and	)	AF# 2194
SNYDEN. RD HON. as treasurer:	)	

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CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election  
Commission, do hereby certify that on October 25, 2010 the Commission took the  
following actions on the Reason To Believe Recommendation 2010 12 Day Pre-  
Primary Report (Maryland, New York and Wisconsin) as recommended in the  
Reports Analysis Division's Memorandum dated October 22, 2010, on the following  
committees:

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AF#2191 Decided by a vote of 6-0 to: (1) find reason to believe that BARTLETT FOR CONGRESS COMMITTEE, and ROBERT PERRY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2195 Decided by a vote of 6-0 to: (1) find reason to believe that PEOPLE FOR GAIL GOODE, and PATRICK BENCIVENGA as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2192 Decided by a vote of 6-0 to: (1) find reason to believe that CHARLES LOLLAR FOR CONGRESS, and SAMUEL N LUXENBURG as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2194 Decided by a vote of 6-0 to: (1) find reason to believe that MORGAN FOR CONGRESS, and SNYDEN, RD HON. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

October 26, 2010  
Date

Shawn Woodhead Werth  
Shawn Woodhead Werth  
Secretary and Clerk of the Commission

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

November 2, 2010

Hon. RD Snyder, in official capacity as Treasurer  
Morgan for Congress  
130 Malcolm X Boulevard, Unit 1003  
New York, NY 10026

C00463406  
AF#: 2194

Dear Hon. Snyder:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a 12 Day Pre-Primary Report of Receipts and Disbursements in any calendar year during which there is a regularly scheduled election for which the candidate is seeking election, or nomination for election. This report, covering the period through August 25, 2010, shall be filed no later than September 2, 2010. 2 U.S.C. § 434(a). Records at the Federal Election Commission ("FEC") indicate that this report was filed on September 8, 2010, six (6) days late.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On October 25, 2010, the FEC found that there is reason to believe ("RTB") that Morgan for Congress and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file timely this report on or before September 2, 2010. Based on the FEC's schedules of civil money penalties at 11 C.F.R. § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$210. Please see the attached copy of the Commission's administrative fine regulations at 11 C.F.R. §§ 111.30-111.46. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See <http://www.fec.gov/af/af.shtml>. 11 C.F.R. § 111.34. Your payment of \$210 is due within forty (40) days of the finding, or by December 4, 2010, and is based on these factors:

Election Sensitivity of Report: Election Sensitive  
Level of Activity: \$14,048  
Number of Days Late: 6  
Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

**1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty**

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your committee's identification number, to the FEC's Office of Administrative Review, 999 E Street,

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NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or December 4, 2010. 11 C.F.R. § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 C.F.R. § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 C.F.R. § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. *Id.* Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 C.F.R. § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 C.F.R. § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

## **2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge**

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Morgan for Congress and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 C.F.R. § 111.51(a)(2).

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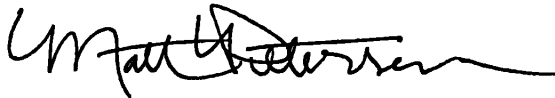
**3. If You Choose to Pay the Civil Money Penalty**

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 C.F.R. § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Sari Piekerah in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,



Matthew S. Petersen  
Chairman

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**ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS**

In accordance with the schedule of penalties at 11 C.F.R. § 111.43, the amount of your civil money penalty calculated at RTB is \$210 for the 2010 Pre-Primary Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission  
P.O. Box 979058  
St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox  
FEC #979058  
1005 Convention Plaza  
Attn: Government Lockbox, SL-MO-C2GL  
St. Louis, MO 63101

The remittance and your payment are due by December 4, 2010. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

**PAYMENTS BY PERSONAL CHECK**

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

**PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT**

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FOR: Morgan for Congress

FEC ID#: C00463406

AF#: 2194

PAYMENT DUE DATE: December 4, 2010

PAYMENT AMOUNT DUE: \$210

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

January 13, 2011

**MEMORANDUM**

TO: THE COMMISSION

THROUGH: ALEC PALMER  
ACTING STAFF DIRECTOR

FROM: PATRICIA CARMONA *PC*  
CHIEF COMPLIANCE OFFICER

DEBBIE CHACONA *DC*  
ASSISTANT STAFF DIRECTOR  
REPORTS ANALYSIS DIVISION

BY: JODI WINSHIP/SARI PICKERALL *JP*  
COMPLIANCE BRANCH

SUBJECT: ADMINISTRATIVE FINE PROGRAM – FINAL DETERMINATION  
RECOMMENDATION FOR THE 2010 12 DAY PRE-PRIMARY REPORT  
(MARYLAND, NEW YORK AND WISCONSIN)

Attached are two lists of political committees and their treasurers against which the Commission has found reason to believe (RTB) and assessed proposed civil money penalties calculated at RTB for failure to file or failure to timely file the 2010 12 Day Pre-Primary Report (Maryland, New York and Wisconsin). The first list represents the committees that have paid the civil money penalty and the second list represents the committee that has not paid the civil money penalty. The committee that has not paid has been given at least forty (40) days from the date of the Commission's RTB finding to remit payment.

In accordance with 11 CFR § 111.34 and 11 CFR § 111.40, the Commission shall send a final determination notice to those respondents that have either paid or not paid the civil money penalty.

**RAD Recommendation**

- (1) Make final determination that the political committees and their treasurers listed on the attached reports violated 2 U.S.C. § 434(a) and assess the final civil money penalties so indicated.
- (2) Send the appropriate letters.

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Federal Election Commission  
FD Circulation Report Fine Paid  
2010 PRE-PRIMARY Election Sensitive 09/02/2010 AUTH (MD, NY, WI)

AF#	Committee Name	Candidate Name	Committee ID	Treasurer	Receipt Date	Days Late	LOA	PV	RTB Date	RTB Penalty	Final Money Penalty	Date Paid	Amount Paid
2191	BARTLETT FOR CONGRESS COMMITTEE	BARTLETT, JR., ROSCOE G.	C00255190	ROBERT PERRY	09/09/2010	7	\$127,949	0	10/25/2010	\$1,865	\$1,865	11/29/2010	\$1,865
2192	CHARLES LOLLAR FOR CONGRESS	LOLLAR, CHARLES J.	C00465377	SAMUEL N. LUXENBURG	09/08/2010	6	\$122,796	0	10/25/2010	\$1,740	\$1,740	12/06/2010	\$1,740
2194	MORGAN FOR CONGRESS	MORGAN, VINCENT SCOTT	C00463406	SNYDEN, HON. RD	09/08/2010	6	\$14,048	6	10/25/2010	\$210	\$210	11/19/2010	\$210
2195	PEOPLE FOR GAIL GOODE	GOODE, GAIL	C00484279	PATRICK BENCIVENGA	09/09/2010	7	\$341,181	0	10/25/2010	\$3,650	\$3,650	11/29/2010	\$3,650

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Federal Election Commission  
FD Circulation Report Fine Not Paid  
2010 PRE-PRIMARY Election Sensitive 09/02/2010 AUTH (MD, NY, WI)

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Administrative Fine Program - Final	)	
Determination Recommendation for the	)	
2010 12 Day Pre-Primary Report (MD, NY,	)	
and WS):	)	
BARTLETT FOR CONGRESS	)	AF# 2191
COMMITTEE, and ROBERT PERRY as	)	
treasurer;	)	
PEOPLE FOR GAIL GOODE, and	)	AF# 2195
PATRICK BENCIVENGA as treasurer;	)	
CHARLES LOLLAR FOR CONGRESS,	)	AF# 2192
and SAMUEL N LUXENBURG as	)	
treasurer;	)	
MORGAN FOR CONGRESS, and	)	AF# 2194
SNYDEN, HON RD. as treasurer;	)	

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on January 18, 2011 the Commission took the following actions on the Administrative Fine Program - Final Determination Recommendation for the 2010 12 Day Pre-Primary Report (MD, NY, and WS) as recommended in the Reports Analysis Division's Memorandum dated January 13, 2011, on the following committees:

AF#2191 Decided by a vote of 6-0 to: (1) make a final determination that BARTLETT FOR CONGRESS COMMITTEE, and ROBERT PERRY as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2195 Decided by a vote of 6-0 to: (1) make a final determination that PEOPLE FOR GAIL GOODE, and PATRICK BENCIVENGA as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2192 Decided by a vote of 6-0 to: (1) make a final determination that CHARLES LOLLAR FOR CONGRESS, and SAMUEL N LUXENBURG as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2194 Decided by a vote of 6-0 to: (1) make a final determination that MORGAN FOR CONGRESS, and SNYDEN, HON. RD as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

January 27, 2011  
Date

Shawn Woodhead Werth  
Shawn Woodhead Werth  
Secretary and Clerk of the Commission

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

January 21, 2011

Hon. RD Snyder, in official capacity as Treasurer  
Morgan for Congress  
130 Malcolm X Boulevard  
New York, NY 10026

C00463406  
AF#: 2194

Dear Mr. Snyder:


On October 25, 2010, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Morgan for Congress and its treasurer violated 2 U.S.C. § 434(a) for filing late the 2010 Pre-Primary Report. By letter dated November 2, 2010, the Commission sent notification of the RTB finding that included a civil money penalty calculated at the RTB stage of \$210 in accordance with the schedule of penalties at 11 C.F.R. § 111.43.

On November 19, 2010, the FEC received payment of the civil money penalty calculated at the RTB stage. The FEC made a final determination on January 18, 2011 that you, in your official capacity as treasurer, and Morgan for Congress violated 2 U.S.C. § 434(a), assessed a civil money penalty in the amount of \$210 in accordance with 11 C.F.R. § 111.43, and voted to close the file.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 C.F.R. § 111.42(b). Although the file must be placed on the public record within thirty (30) days from the date of the Commission's notification, this could occur at anytime following certification of the Commission's vote.

If you have any questions regarding this matter, please contact Sari Pickerall on our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130.

On behalf of the Commission,

  
Cynthia Bauerly  
Chair

11092652738

FOR: Morgan for Congress

FEC ID#: C00463406

AF#: 2194

PAYMENT DUE DATE: December 4, 2010

PAYMENT AMOUNT DUE: \$210

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058  
SEQ# 001 \$ 0000021000 BA# 1 11-18-10 20 9



usbankcorp.  
The Sun Bank Company

MORGAN FOR CONGRESS		1036
DATE <u>11/12/10</u>		1-1957/258 400
PAY TO THE ORDER OF <u>FEDERAL Election Committee</u>	\$ <u>210</u> <sup>00</sup>	
<u>Two hundred &amp; TEN</u>		DOLLARS - 0
TD Bank America's Most Convenient Bank®		
FOR <u>FEC Penalty NYC # 979658</u>		



FEDERAL ELECTION COMMISSION  
Washington DC 20463

THIS IS THE END OF ADMINISTRATIVE FINE CASE # 2194

DATE SCANNED 3/9/11

SCANNER NO. 2

SCAN OPERATOR SES

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